

History 390L: "Shanghai, 1927-1937"

Mr. Grafflin

FallTerm, 2006

MWF 11:00 am – 11:55 am

Pettengill Hall 312

Mr. Grafflin

Office location:

Pettengill #117

Office phone/voicemail:

(207) 786-6073

[this is the most likely way to reach me quickly]

Personal E-mail:

dgraffli@bates.edu

[this works, but is slower than the phone, esp. on weekends]

Course E-mail:

fhist390La

[goes to everyone registered, and to me]

Office hours:

These are subject to endless adjustment, as other responsibilities/crises/confusions surface during the semester, so I encourage people to make an appointment for some mutually convenient time either in person or by leaving me a voicemail message. My E-mail account tends to get swamped with outside communications, so it is not the most efficient way to find me. There is also a box on my office door (in which tiny scraps of paper immediately vanish from sight—use a standard sheet of paper). I am in and out of my office all week long, getting here early in the morning (usually by 8:00). My class schedule this semester mostly blocks out MWF from 8:00 a.m. to 1:00 p.m.

Late afternoons (especially on Mondays) tend to get lost in committee meetings. The easiest way to be sure of finding me is to call ahead. Your best chance to find me without an appointment is 1:00—2:00 p.m. MWF. My time 8:00—4:00 is more flexible on TuTh, but that may mean I'm out of town... and I may have my dog with me – if you are a person who is uncomfortable with animals, please let me know, so that I can be sure not to put you in a difficult situation.

Course purpose: As an upperclass seminar, this class is intended to lead people through the transition from learning out of pre-digested secondary scholarship to generating one's own insights out of the encounter with primary sources. This confrontation with material generated by people who rarely had any thought of explaining themselves to the future forces one to develop new reading skills, and raises important issues of intellectual craft, academic honesty, and scholarly purpose.

<i>Week One</i>	<i>The Basics</i>
Wed 06 Sep	C1 Orientation
Fri 08 Sep	C2 Library Orientation

[once you have been exposed to the library sources, research exercises will begin that run simultaneously with the assigned reading]

<i>Week Two</i>	<i>The World of Shanghai</i>
Mon 11 Sep	C3 Sergeant, pp. 1-94
Wed 13 Sep	C4 Sergeant, pp. 95-205
Fri 15 Sep	C5 Sergeant, pp. 206-339

<i>Week Three</i>	<i>The Larger Republican Context</i>	
Mon 18 Sep	C6 Eastman, Ch. 1 or <u>CHC</u> , Ch. 3	<i>[on reserve]</i>
Wed 20 Sep	C7 Eastman, Ch. 2 or <u>CHC</u> , Ch. 4	<i>[on reserve]</i>
Fri 22 Sep	C8 Henriot, pp. 1-64	<i>[on reserve]</i>

Week Four *Governing Shanghai*

[I have to be at Oberlin College for the beginning of this week, as part of an external review committee for its History Department...you should use the time of the cancelled class meetings on Monday and Wednesday to pursue further acquaintance with the microfilm, with an eye to selecting a paper topic.] [You should also be thinking about possible sources beyond the contents of the Bates library – Maine InfoNet books should arrive in 2-3 business days, journal article texts should arrive electronically in 4 business days, out-of-state books in a week or more, and most even more exotic materials within two weeks...some libraries will loan microfilmed newspapers, for example.]

Fri 29 Sep	C9 Henriot, pp. 65-129	<i>[on reserve]</i>
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<i>Week Five</i>	<i>Governing Shanghai</i>	
Mon 02 Oct	C10 Henriot, pp. 130-184	<i>[on reserve]</i>
Wed 04 Oct	C11 Henriot, pp. 185-249	<i>[on reserve]</i>
Fri 06 Oct	C12 Coble, pp. 1-85	

<i>Week Six</i>	<i>Shanghai ... from the Top</i>
Mon 09 Oct	C13 Coble, pp. 86-160
Wed 11 Oct	C14 Coble, pp. 161-269
	<i>... and from the Bottom</i>
Fri 13 Oct	C15 Stranahan, pp. 1-60

Week Seven *Shanghai from the Bottom*
 Mon 16 Oct C16 Stranahan, pp. 61-146
~~Wed 18 Oct~~ (*October Recess*)
~~Fri 20 Oct~~

[paper proposal due, indicating problem, major sources, approach]

Week Eight *Shanghai from the Bottom... & the Police Response*
 Mon 23 Oct C17 Stranahan, pp. 147-235
 Wed 25 Oct C18 Wakeman, pp. 3-94
~~Fri 27 Oct~~ [*Maine School Management Association annual meeting*]

Week Nine *Shanghai as a Police Problem*
 Mon 30 Oct C19 Wakeman, pp. 95-162, especially 132-161
 Wed 01 Nov C20 Wakeman, pp. 163-226
 Fri 03 Nov C21 Wakeman, pp. 227-291

Week Ten *Technical issues in paper writing*
 Mon 06 Nov C22 **citation, style sheets**
 Wed 08 Nov C23 **plagiarism, academic honesty**
 Fri 10 Nov C24 **individual conferences**

Week Eleven *Pulling things together...*
 Mon 13 Nov C25 **individual conferences**
 Wed 15 Nov C26 **individual conferences**
 Fri 17 Nov C27 **draft version of research paper due**

Thanksgiving Recess (18 – 26 Nov)

Week Twelve *So... what did we find out?*
 Mon 27 Nov C28 **individual paper presentations**
 Wed 29 Nov C29 **individual paper presentations**
 Fri 01 Dec C30 **individual paper presentations**

Week Thirteen *Individual conferences to discuss how the project worked out*
 Mon 04 Dec C31 **second version of research paper due**
 Wed 06 Dec C32 **individual conferences**
 Fri 08 Dec C33 **individual conferences**

Course Readings

Our approach to the Shanghai Municipal Police archives on microfilm is by way of a set of readings that move from general overview to more specific issues:

Sergeant, Harriet. Shanghai (reprinted many times; most recently N.Y.: Trafalgar Square, 2002; also London: John Murray, 1998; originally Shanghai: Collision Point of Cultures, 1918—1939 [N.Y.: Crown, 1990]).

Eastman, Lloyd, et al. The Nationalist Era in China, 1927-1949 (Cambridge: Cambridge University Press, 1991). **OUT OF PRINT – on reserve in two forms:**

	<u>Nationalist Era in China</u>	<u>Cambridge History of China, v. 13, pt. 2</u>
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“Nationalist China, 1927-1937”	Ch. 1	Ch. 3
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“Communist Movement, 1927-1937”	Ch. 2	Ch. 4
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Henriot, Christian. Shanghai, 1927-1937: Municipal Power, Locality and Modernization (Berkeley: University of California Press, 1993). Translation of Shanghai 1927-1937: Élite locales et modernisation dans la Chine nationaliste (Paris: École des Hautes Études en Sciences Sociales, 1991). *[has gone out of print]*

Wakeman, Frederic, Jr. Policing Shanghai 1927-1937 (Berkeley: University of California Press, 1995).

Coble, Parks M. The Shanghai Capitalists and the Nationalist Government, 1927-1937 (Cambridge, Harvard University Press, 2nd ed., 1986).

Stranahan, Patricia. Underground: The Shanghai Communist Party and the Politics of Survival, 1927-1937 (N.Y.: Rowman & Littlefield, 1998).

Guide to the Scholarly Resources microfilm edition of the Shanghai Municipal Police files, 1894-1949, with an introduction by Marcia R. Ristaino. (Wilmington, Delaware: Scholarly Resources Inc., 1984?).

Reference **HV7870.S5 S5 Guide** *main floor reference shelves*

Shanghai Municipal Police file. (Wilmington, Delaware: Scholarly Resources Inc., 1984). 67 reels of positive 35mm. microfilm. *ground floor microform cabinets*

Microfilm **HV7870.S5 S5** **reel 1 up to reel 67** [“red-dot” drawers]

Grading

Attendance is important – we will be covering a lot of material in a hurry.

Exercises of various kinds will be assigned, to get you started with the police files on microfilm in the library, and to get you thinking about acceptable ways to deal with a variety of scholarly challenges.

Writing a research paper of substantial length, properly documented and drawing on primary source material in the SMP archives, will be the single biggest task in the class.

Because of the individual nature of the research projects, and the certainty that they will pose widely varying difficulties, it is impossible to put a precise weight on each of these three components of the course grade. However, none can be ignored.

Reading material assigned:

Sergeant - **339 pp.**

Eastman - Ch. 1 (Eastman) "Nat. China during Nanking decade"
52 pp. [CHC v. 13, pt. 2, ch. 3]

Ch. 2 (Ch'en) "Communist Movement, 1927-1937"
62 pp. . [CHC v. 13, pt. 2, ch. 4]

not assigned:

Ch. 3 (Eastman) "Nat. Ch. during Sino-Jap. War"
62 pp. . [CHC v. 13, pt. 2, ch. 11]

Ch. 4 (Van Slyke) "Comm. Mvt. during Sino-Jap. War"
114 pp. . [CHC v. 13, pt. 2, ch. 12]

Ch. 5 (Pepper) "KMT-CCP Conflict, 1945-1949"
66 pp. . [CHC v. 13, pt. 2, ch. 13]

Henriot - **249 pp.**

Wakeman - **291 pp.**

Coble - **269 pp.**

Stranahan - **235 pp.**

FILMS on Shanghai you might want to track down:

“Empire of the Sun”

“Shanghai Triad”